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| Abstract | <p>Disturbances in attentional processes and executive functioning are a common feature of several psychiatric afflictions such as schizophrenia, attention deficit/hyperactivity disorder, and obsessive-compulsive disorder (OCD). The use of animal models has been useful in defining various candidate neural systems, thus enabling us to translate basic laboratory science to the clinic and vice versa. This chapter provides a review on the contribution of the serotonergic system on the modulation of basic behavioral operations such as selective attention, vigilance, set shifting, and executive control focusing on the 5-HT<sub>2C</sub> receptor subtype. Specifically, we have reviewed evidence emerging from several behavioral paradigms in experimental animals, each of which centers on a different aspect of the attentional and executive function. These paradigms include the five-choice serial reaction time task (5CSRTT), attentional set shifting, the spatial alternation, and the signal attenuation tasks. In each case, the types of operation that are measured by the given paradigm are defined, and the role of the ascending serotonergic system in the neurochemical modulation of its behavioral output is examined. In conclusion, reference is made to clinical implications for neurological and neuropsychiatric disorders that exhibit deficits in these cognitive tests.</p> |
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# Chapter 23

## The Role of Serotonin on Attentional Processes and Executive Functioning: Focus on 5-HT<sub>2C</sub> Receptors

Eleftheria Tsaltas and Vasileios Boulougouris

### 23.1 Introduction

Attention refers to the processes determining an organism's receptivity to external or internal excitation and hence the probability that it will engage in the processing of that excitation (Parasuraman 1998). Although it is often related as a cognitive function, it is distinct in encompassing a multitude of manifestations that underlie and sustain the activity of the other cognitive and behavioral performance in several ways: through the selection and integration of sensory inputs, which is essential for efficient learning and remembering as well as for the organization of appropriate responses. Impaired attentional processing may therefore become manifested as inattention, distractibility, memory impairment, confusion, perseveration, or disinhibition. Recognition of the diversity of attention has led to the identification of three distinct fundamental qualities: selection, enabling the allocation of priority to certain informational elements to the exclusion of others; vigilance, referring to the capacity for attentional persistence over time; and control, which optimizes performance, for example, by inhibition of concurrent activities (Parasuraman 1998; Robbins 2002, 2005).

Attempts to uncover neural mechanisms through which brain serotonin systems influence attentional processes as well as other executive functions are complicated by the heterogeneity of the receptors through which serotonin acts. At least 14 distinct subtypes of serotonin (5-hydroxytryptamine, or 5-HT) receptors are expressed within the central nervous system (Barnes and Sharp 1999). They are highly diverse in respect to their structures, gene regulation, primary effect or mechanisms, regional and subcellular expression patterns and physiological actions. However, the multiplicity of 5-HT receptors provides an opportunity for a fine functional dissection of brain serotonin systems, one receptor at a time.

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31 Progress in this area has been facilitated by the development of relatively selective  
32 pharmacological tools and by molecular genetic techniques enabling the generation  
33 of animals with planned 5-HT receptor gene mutations. In order to ascertain neu-  
34 roanatomical and neurochemical specificity of experimental interventions, it is  
35 necessary to resort to the use of experimental animal models. This endeavor has  
36 been facilitated by the current availability of comparable cross-species tests of  
37 cognitive function. These enable the identification of common neural substrates  
38 that subservise similar functions across species, increasing the likelihood that the  
39 same cognitive functions are being studied in each species.

40 In this chapter, the contribution of the serotonergic system to basic operations  
41 such as vigilance, shifting, and executive control are surveyed with emphasis to a  
42 prominent central serotonin receptor subtype – the 5-HT<sub>2C</sub> receptor. Following a  
43 brief description of the anatomy of 5-HT<sub>2A</sub> and 5-HT<sub>2C</sub> receptor, the survey is  
44 focused on evidence from experimental animals. It encompasses data generated by  
45 four different experimental conditions, each of which centers on a specific aspect  
46 of the attentional and executive function.

47 The first paradigm is the five-choice serial reaction time task, which provides a  
48 direct measure of sustained attention and bears good analogy to the human continu-  
49 ous performance test (CPT), a traditional index of human vigilance. The second  
50 paradigm is attentional set shifting and reversal learning, which has been used to  
51 decompose the types of processes engaged by tests of attentional flexibility such as  
52 the Wisconsin Card Sort Test (WCST). The third paradigm is the reinforced spatial  
53 alternation measuring memory, cognitive flexibility, as well as persistent behavior.  
54 Finally, the signal attenuation paradigm models certain components of executive  
55 control, including attention and inhibition. In each case, the types of operation that  
56 are measured by the given paradigm will be defined. Then, the role of the seroton-  
57 ergic systems in the neurochemical modulation of its behavioral output will be  
58 examined, focusing on the contribution of the 5-HT<sub>2C</sub> receptor subtype. In conclu-  
59 sion, reference to clinical implications for neurological and neuropsychiatric disor-  
60 ders will be made.

## 61 **23.2 5-HT Receptor Subtypes**

62 The true complexity of the serotonergic system is revealed when it is considered  
63 that over 14 different types of 5-HT receptor, assigned to one of seven families  
64 (5-HT<sub>1-7</sub>), have currently been identified and that the number is set to rise (Barnes  
65 and Sharp 1999; Hoyer et al. 2002). Investigation of the possibility that these dif-  
66 ferent receptors mediate different functions within the 5-HT system has only begun  
67 more recently with the advent of selective pharmaceutical compounds that can  
68 distinguish between the different receptor subtypes, and this avenue of research is  
69 constantly growing with the continuous development of more selective agents.  
70 Given the increasing interest in the serotonergic system in relation to psychiatric  
71 disorders and the escalating number of drug targets available, a comprehensive

review of this work would be lengthy undertaking. Discussion that is more detailed will therefore be limited to 5-HT<sub>2A</sub> and 5-HT<sub>2C</sub> receptor subtypes, with the caveat that this is not a comprehensive delineation of the 5-HT receptors that may be implicated in the regulation of attentional processes and executive functions.

**23.2.1 5-HT<sub>2A</sub> Receptor Subtypes** 76

[AU1] There are currently three members of the 5-HT<sub>2</sub> receptor family (5-HT<sub>2A</sub>, 5-HT<sub>2B</sub>, 5-HT<sub>2C</sub>) all of which are coupled positively to phospholipase C and mobilize intracellular calcium. Investigation of the function of individual members of the 5-HT<sub>2</sub> family has only proved possible quite recently with the development of selective 5-HT<sub>2A</sub> and 5-HT<sub>2C</sub> receptor antagonists (5-HT<sub>2A</sub> receptor antagonist: M100907, formerly MDL 100907; 5-HT<sub>2C</sub> receptor antagonists: SB 242084 and RS 102221). However, there is still a need for more selective agonists, particularly at the 5-HT<sub>2A</sub> receptor. Receptor autoradiography studies using tritiated ligands demonstrate high levels of 5-HT<sub>2A</sub> receptors in many forebrain regions, and particularly in cortical areas, including frontal cortex, the nucleus accumbens, caudate nucleus, and HPC (Lopez-Gimenez et al. 1997; Pazos et al. 1985, 1987).

5-HT<sub>2A</sub> receptors are located postsynaptically to serotonergic neurons and have been found on both  $\gamma$ -aminobutyric acid (GABA)-ergic interneurons as well as glutamatergic cortical pyramidal cells (Burnet et al. 1995; Francis et al. 1992; Morilak et al. 1993, 1994; Wright et al. 1995). Activation of the 5-HT<sub>2A</sub> receptors depolarizes the cell membrane, potentially through a decrease in K<sup>+</sup> currents (Marek and Aghajanian 1995; Aghajanian and Marek 1997; Araneda and Andrade 1991). Although it has generally been reported that none of the more selective 5-HT<sub>2</sub> receptor agonists or antagonists alter levels of 5-HT (e.g., Gobert and Millan 1999; Gobert et al. 2000), it has been suggested that 5-HT<sub>2A</sub> receptors are involved in a glutamatergic feedback loop originating from the prefrontal cortex (PFC) and terminating in the DRN, therefore stimulation of 5-HT<sub>2A</sub> receptors in this region may have the capacity to alter 5-HT release throughout the forebrain (Martin-Ruiz et al. 2001).

**23.2.2 5-HT<sub>2C</sub> Receptor Subtypes** 101

The 5-HT<sub>2C</sub> receptor was originally classified as a member of the 5-HT1 family (5-HT<sub>1C</sub>) (Pazos et al. 1987) but has been reclassified following more extensive investigation of its structure and function (Humphrey et al. 1993). Unlike the 5-HT<sub>2A</sub> receptors, 5-HT<sub>2C</sub> receptors are only found within the central nervous system (Palacios et al. 1990). However, 5-HT<sub>2C</sub> receptors are widely distributed within the brain, and high levels are found in the cortex, limbic system, and basal ganglia. The majority of studies point to a predominantly postsynaptic location for 5-HT<sub>2C</sub>

109 receptors, but 5-HT<sub>2C</sub> receptor mRNA has been localized within the DRN, indicat-  
110 ing that this receptor could be found at presynaptic sites as well.

111 In common with the 5-HT<sub>2A</sub> receptor, activation of the 5-HT<sub>2C</sub> receptor also  
112 appears to depolarize the cell membrane (Rick et al. 1995; Sheldon and Aghajanian  
113 1991), although as with the majority of data regarding the 5-HT<sub>2A</sub> receptor, much  
114 has been inferred through observations that 5-HT-induced increases in activity are  
115 not blocked by a range of other 5-HT-receptor specific antagonists. Hopefully, the  
116 fact that there is now a commercially available 5-HT<sub>2C</sub> receptor agonist, WAY  
117 161503, will enable clarification of both the physiological and behavioral effects of  
118 5-HT<sub>2C</sub> receptor stimulation.

### 119 **23.3 5-HT and the Five-Choice Serial Reaction** 120 **Time Task (5CSRTT)**

121 The 5CSRTT is an animal test widely used with rodents providing substantial valid-  
122 ity as a direct measure of different components of attention (for details see  
123 Boulougouris and Tsaltas 2008; Carli et al. 1983). In brief, animals are trained to  
124 detect the location of a brief visual stimulus presented pseudorandomly in one of  
125 the five apertures over a large number of trials. The performance measures include  
126 choice accuracy, omissions, premature responses (responses made before the target  
127 stimulus), perseverative responses (additional nose pokes made postpresentation of  
128 the stimulus in any nose-poke aperture), perseverative panel pushes (additional  
129 responses made at the food magazine before or after food retrieval), correct  
130 response latency, and food collection latency.

131 Optimal performance on this apparently simple task requires the integration of  
132 several cognitive processes. Sustained attention to the goal area for the duration of  
133 the intertrial interval (ITI) is necessary in order not to miss the target, while  
134 divided attention across all five exposed holes is essential in order to scan the  
135 entire visual array. Other processes measured by this task include sensor, motor or  
136 motivational processes, decision making, and inhibitory control (for details see  
137 Boulougouris and Tsaltas 2008). Apart from aspects of attention and impulse  
138 control, the task is also capable of dissociating performance elements which  
139 usually covary, although they probably rely on processes that are under control of  
140 different neural mechanisms.

141 Neurochemically speaking, apart from the involvement of the dopaminergic sys-  
142 tem in the modulation of the 5CSRTT (discussed in Boulougouris and Tsaltas 2008),  
143 the serotonergic system is also heavily implicated. The 5CSRTT is demonstrably  
144 sensitive to serotonergic manipulations: Global, 5,7-dihydroxytryptamine (5,7-DHT)  
145 lesion-induced 5-HT depletion consistently appears to spare response accuracy,  
146 while it increases impulsivity as reflected by increased premature responding and  
147 decreased omissions as well as correct response latency (Harrison et al. 1997;  
148 Winstanley et al. 2003a, 2004; Koskinen et al. 2000). However, systemic administra-  
149 tion of the 5-HT<sub>1A</sub> receptor agonist 8-hydroxy-2-(di-*n*-propylamino)tetraline

(8-OH-DPAT), which also decreases 5-HT release (Bonvento et al. 1992; Hajos et al. 1999; Celada et al. 2001), does not affect impulsive responding and improves attentional performance (Winstanley et al. 2003a). At higher doses, the selective 5-HT<sub>1A</sub> receptor agonist 8-OH-DPAT reportedly increased impulsivity, possibly by activating presynaptic 5-HT<sub>1A</sub> receptors (Carli and Samanin 2000). There is an incongruence, then, between the effects of chronic lesion-induced global 5-HT decreases and the effects of acute global decreases such as those affected by systemic administration of a 5-HT<sub>1A</sub> receptor agonist.

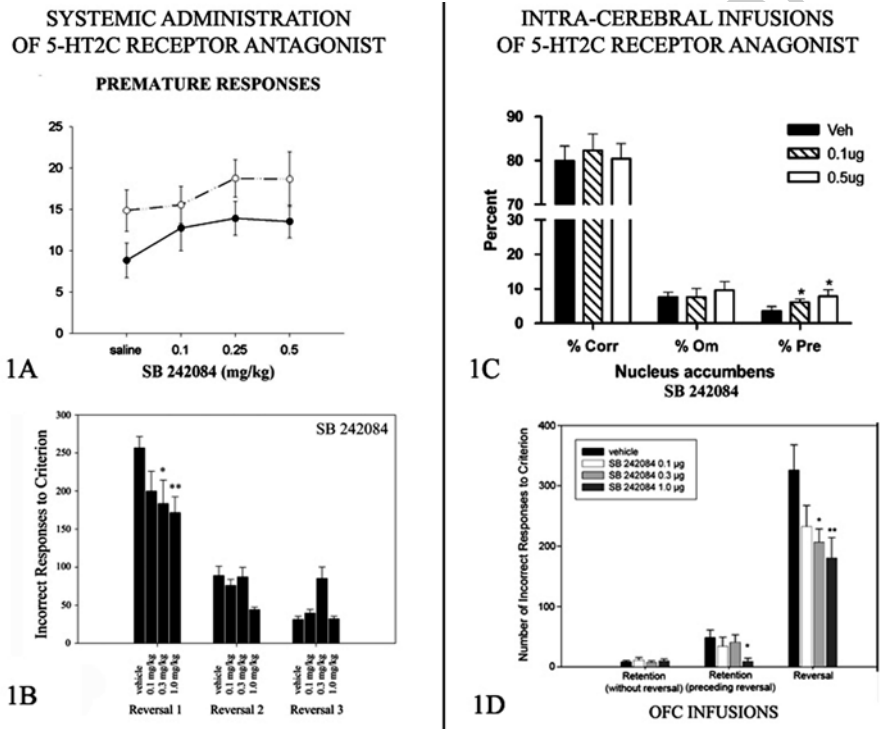
[AU3]

The apparent inconsistency is compounded by the observation that systemic and infusions of the 5-HT<sub>2A</sub> receptor antagonist M100907 in the prefrontal cortex (PFC) decrease impulsive responding (Winstanley et al. 2003a). Moreover, infusions of M100907 in the medial prefrontal cortex (mPFC) counteracted the loss of executive control [impulsivity induced by the competitive *N*-methyl-D-aspartate (NMDA) receptor antagonist 3-(R)-2-carboxypiperazin-4-propyl-1-phosphonic acid (CPP)], while 8-OH-DPAT decreased compulsive perseveration (Carli et al. 2006). Thus, an antagonist of the 5-HT system effectively produces effects opposite of those of global decrease in 5-HT transmission. This paradox, along with the observation that 2,5-dimethoxy-4-iodoamphetamine (DOI), a 5-HT<sub>2A/2C</sub> agonist does increase premature responding, probably through activation of the 5-HT<sub>2A</sub> receptor (Koskinen et al. 2000), suggests dissociable behavioral contribution of 5-HT receptor subtypes in the 5CSRTT.

Indeed, evidence suggests that the 5-HT<sub>2A</sub> and 5-HT<sub>2C</sub> receptors have opposing neurochemical effects. 5-HT<sub>2C</sub> receptor activation inhibits dopamine release, whereas 5-HT<sub>2A</sub> activation enhances dopamine release (Di Matteo et al. 2000, 2001; Millan et al. 1998). Antagonism of 5-HT<sub>2C</sub> and 5-HT<sub>2A</sub> receptors has opposite effects on some behavioral effects of cocaine (Fletcher et al. 2002). Furthermore, it has been demonstrated that 5-HT<sub>2C</sub> and 5-HT<sub>2A</sub> receptors also have contrasting and dissociable behavioral contribution on impulsivity in the 5CSRTT. The selective 5-HT<sub>2C</sub> antagonist SB 242084 increases premature responding and decreases correct response latency (Winstanley et al. 2004; Higgins et al. 2003) (Fig. 23.1a). This premature responding increase has recently been shown to be mediated by the nucleus accumbens (NAc) (Robinson et al. 2008) (Fig. 23.1c). When the antagonist was administered systemically to 5,7-DHT-lesioned animals, the increase in premature responding emerged over and above the similar effects of the 5,7-DHT lesion (Winstanley et al. 2004). In contrast, the selective 5-HT<sub>2A</sub> antagonist M100907 had no effect on response latency and actually reduced premature responding, an effect mediated by the NAc (Robinson et al. 2008). The effect of M100907 (administered systemically) was abolished by 5,7-DHT lesions (Winstanley et al. 2004). This dissociation challenges the hypothesis that general decreases in 5-HT neurotransmission increase impulsivity. Furthermore, the fact that antagonism of the 5-HT<sub>2C</sub> receptor produces a behavioral profile closer to 5,7-DHT lesions than any other receptor so far tested including the 5-HT<sub>2A</sub> receptor suggests that the 5-HT<sub>2C</sub> receptor is central in the serotonergic regulation of behavioral inhibition.

Compulsivity, another form of inhibition deficit, is also accessible by the 5CSRTT via the measure of repeated responding at the holes (perseverative

195 responding), offering a putative index of compulsivity. Winstanley et al. (2004)  
 196 demonstrated that 5,7-DHT lesions increased perseverative as well as impulsive  
 197 responding, a finding consistent with increased perseverative errors during reversal  
 198 in the marmoset after localized 5-HT depletion within the PFC (Clarke et al. 2004)  
 199 and after orbitofrontal cortex (OFC) damage (Jones and Mishkin 1972; Rogers  
 200 et al. 1999; Schoenbaum et al. 2002; Chudasama and Robbins 2003; Chudasama  
 201 et al. 2003). Neither 5-HT<sub>2A</sub> antagonism (M100907) nor 5-HT<sub>2C</sub> antagonism (SB  
 202 242084) appears to affect perseverative responses (Winstanley et al. 2003a, 2004;  
 203 Higgins et al. 2003; Chudasama and Robbins 2003; Chudasama et al. 2003). These  
 204 data suggest that different kinds of motor disinhibition differ in their neurobiologi-  
 205 cal bases, as impulsivity and compulsivity appear to be differentially regulated by  
 206 the 5-HT system.



**Fig. 23.1** (a) Effects of systemic administration of the 5-HT<sub>2C</sub> receptor antagonist SB 242084 on the percentage of premature responses performed during the 5CSRTT in ICV 5,7-DHT-lesioned animals and sham-operated controls (Reproduced from Winstanley et al. 2004. With permission). (b) Effects of systemic administration of SB 242084 on incorrect responses during spatial reversal learning (Adapted from Boulougouris et al. 2008). (c) Effects of intra-NAC infusions of SB 242084 on the percent correct, omissions, and premature responses during the 5CSRTT (Reproduced from Robinson et al. 2008. With permission). (d) Effects of intra-OFC infusions of SB 242084 on incorrect responses during spatial reversal learning (Adapted from Boulougouris and Robbins 2010).

[AU4]

[AU5]

## 23.4 5-HT and Reversal Learning

207

Tests such as the WCST, which index cognitive flexibility, in fact address several similar yet distinct forms of attentional shifts. For example, if we consider discrimination learning based on compound stimuli involving two perceptual dimensions (e.g., shapes and lines), where exemplars of these dimensions occur in combination with one another on successive trials, one exemplar of one particular dimension being correct (e.g., vertical but not skewed line correct), then (1) when the relevant stimulus dimension (i.e., lines) stays constant but novel stimuli are used (e.g., straight but not curly line correct), this is an intradimensional (ID) shift; (2) when an exemplar from the previously irrelevant dimension (shapes) becomes correct (square but not triangle), then an extradimensional (ED) shift is demanded; finally (3) when the stimuli remain the same, but the previously correct exemplar is now incorrect (triangle but not square), then we refer to *reversal learning*, a shift which can occur either at the compound discrimination learning stage or after the ID or ED shift.

Different tests of attentional flexibility involving ID or ED shifts and reversal are used translationally. Such procedures by necessity engage other processes besides switching attention (e.g., ability to utilize feedback denoting that a shift is necessary, ability to overcome “learned irrelevance” of a previously nonoperative perceptual dimension). However, the precise nature of any failure to make a required shift can be further analyzed (see, e.g., Owen et al. 1993).

Accumulating evidence implicated the serotonergic system in reversal learning but not in attentional shifting. Selective 5-HT depletion in the marmoset had no effect on ED or serial ID shifting, but it produced a large deficit in reversal learning due to perseverative responding to the previously rewarded object (Clarke et al. 2004, 2008, 2005, 2007).

In human volunteers, transient depletion of central 5-HT by the tryptophan depletion technique produced effects on discrimination learning that were especially evident in reversal learning (Park et al. 1994). Another study (Rogers et al. 1999) also reported that tryptophan depletion led to relatively selective effects on human reversal learning (but see also reference Talbot et al. 2006) with no effect on ED shifting. Evers et al. (2005) showed that behavioral reversal was accompanied by significant signal change in the right ventrolateral and dorsomedial PFC of healthy volunteers performing a probabilistic reversal task. Tryptophan depletion enhanced reversal-related signal change in the dorsomedial PFC only, affecting the blood oxygen level-dependent (BOLD) signal specifically associated with negative feedback. These data indicate that the 5-HT system has a modulatory role in reversal learning specifically.

On the receptor level, recent evidence suggests that different 5-HT receptor subtypes have distinct roles in the modulation of reversal learning. Boulougouris et al. (2008) established a double dissociation in the role of 5-HT<sub>2C</sub> and 5-HT<sub>2A</sub> receptor subtypes in serial spatial reversal learning. Specifically, systemic administration of the 5-HT<sub>2C</sub> receptor antagonist SB 242084 facilitated spatial reversal learning in a dose-dependent manner (Fig. 23.1b). Selective infusions into the



250 orbitofrontal cortex (OFC) of SB 242084 also promoted reversal learning, whereas  
251 infusions in the mPFC or nucleus accumbens did not. The facilitation of reversal  
252 learning therefore appears to be mediated by 5-HT<sub>2C</sub> receptors within the OFC  
253 (Boulougouris and Robbins 2010) (Fig. 23.1d). In contrast, systemic treatment with  
254 the 5-HT<sub>2A</sub> receptor antagonist M100907 dose-dependently impaired reversal learn-  
255 ing, on the first reversal of the series in particular. This deficit emerged as increased  
256 perseveration of the previously correct response, reproducing the effects observed  
257 after selective orbitofrontal 5,7-DHT lesions (Clarke et al. 2004, 2005, 2007) as  
258 well as orbitofrontal cortical lesions in rats and nonhuman primates (Chudasama  
259 and Robbins 2003; Dias et al. 1996; Boulougouris et al. 2007).

260 The finding that the enhancement of spatial reversal learning via 5-HT<sub>2C</sub> receptor  
261 blockade is actually mediated by the OFC is apparently at odds with the above-  
262 mentioned lesion studies. This is not the only instance where contrasting effects  
263 between 5-HT depletion and 5-HT receptor antagonism have been reported. For  
264 example, recent studies showed no effect of 5-HT depletion on the delayed discount-  
265 ing task (Winstanley et al. 2003b), while the 5-HT<sub>1A</sub> receptor agonist 8-OH-DPAT  
266 (shown to turn off 5-HT release at autoreceptors) produces impulsive choice  
267 (Winstanley et al. 2005). Therefore, although the discrepancy could be attributed to  
268 task differences between lesion and antagonist studies (e.g., differences in the  
269 modalities of the reversal learning task used here and by Roberts and colleagues:  
270 object versus spatial response reversal), such explanations would appear rather  
271 superficial. A more interesting hypothesis is that the discrepancy between the lesion  
272 and antagonist studies may reflect incomplete 5-HT depletion from OFC resulting  
273 in 5-HT<sub>2C</sub> receptor supersensitivity (as may occur in OCD) (Graf et al. 2003;  
274 Yamauchi et al. 2004). This possibility could perhaps be investigated through infu-  
275 sions of 5-HT<sub>2C</sub> and 5-HT<sub>2A</sub> receptor antagonists on 5-HT depleted animals.

276 These findings are of considerable theoretical and clinical importance. At a theo-  
277 retical level, the opposing effects of 5-HT<sub>2A</sub> and 5-HT<sub>2C</sub> antagonism on perseverative  
278 responding in spatial reversal learning task (increase and decrease, respectively) con-  
279 trast with the also reverse effects of these agents on impulsive responding in the  
280 5CSRIT (see Sect. 23.3 on 5CSRIT). Specifically, intra-PFC 5-HT<sub>2A</sub> antagonism [AU6]  
281 decreases impulsive responding (Winstanley et al. 2003a; Higgins et al. 2003),  
282 whereas 5-HT<sub>2C</sub> antagonism increases it (Winstanley et al. 2004). These observations  
283 are relevant to the concept of an impulsivity–compulsivity spectrum in obsessive–  
284 compulsive spectrum disorders (Hollander and Rosen 2000). At a clinical level, these  
285 data also bear on the issue of whether 5-HT<sub>2C</sub> receptor antagonists might be expected  
286 to be useful in the treatment of human obsessive–compulsive disorder (OCD).

### 287 23.5 5-HT and the Reinforced Spatial Alternation Task

288 The reinforced spatial alternation task is a behavioral procedure used in animals to  
289 measure memory, executive functions, as well as persistent behavior (Tsaltas et al.  
290 2005; Rawlins and Olton 1982; Rawlins and Tsaltas 1983; Givens and Olton 1995).

Each alternation trial includes two runs through the T-maze, with both food cups 291  
 baited. The animal is placed on the start point with its back toward the closed guil- 292  
 lotine door. In the first run (forced, information run), one arm of the maze is 293  
 blocked. As soon as the animal reaches the goal and eats the reinforcer, it is moved 294  
 on the start point, the obstacle is removed, and the second run (free direction choice 295  
 run) begins. The choice run is completed when all paws of the animal are in the 296  
 lateral arm. Thereafter, change in choice is prevented. Choice of the arm opposite 297  
 to the preceding forced arm is rewarded and of the same resulted in nonreward. 298

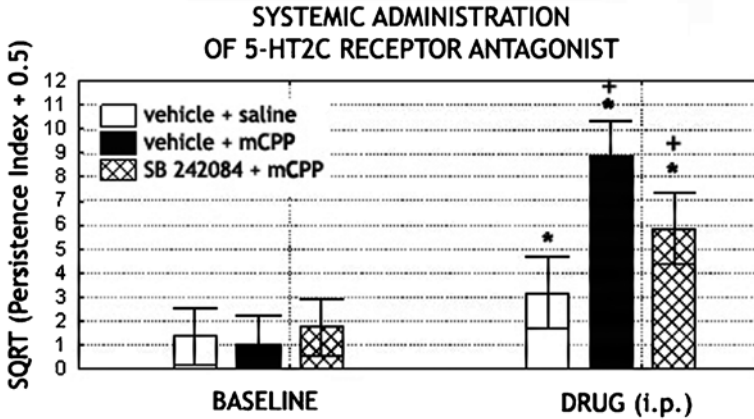
[AU7]

A model of compulsive behavior based on a spontaneous behavioral persistence 299  
 tendency in the framework of spatial reward alternation in the T-maze was recently 300  
 developed by Tsaltas et al. (2005). This model's focal behavioral criterion is direc- 301  
 tional persistence. It has been established that this model responds isomorphically 302  
 with clinical compulsive behavior to a number of serotonergic manipulations, while 303  
 it is not influenced by nonserotonergic antidepressants or benzodiazepines. 304  
 Specifically, it has been demonstrated that meta-chlorophenylpiperazine (mCPP), a 305  
 nonspecific 5-HT<sub>2C</sub> agonist, -induced directional persistence is blocked by chronic 306  
 treatment with the selective serotonin reuptake inhibitor (SSRI) fluoxetine but not 307  
 with diazepam (benzodiazepine, anxiolytic) or desipramine (tricyclic antidepressant); 308  
 these data support the reliability and predictive validity of the model's target behavior. 309  
 Moreover, the focal obsessive behavior of the model has been demonstrated to (1) be 310  
 controlled by 5-HT<sub>2C</sub> and not by 5-HT<sub>1D</sub> receptors, since the specific 5-HT<sub>1D</sub> agonist 311  
 naratriptan had no effect on mCPP-induced persistence (Tsaltas et al. 2005), (2) 312  
 exhibit cross-tolerance between SSRI fluoxetine and the nonspecific serotonin 313  
 agonist mCPP, suggesting a possible common path of action of the two substances, 314  
 and (3) be sensitive to the administration of the agonist of the dopaminergic D<sub>2</sub>/D<sub>3</sub> 315  
 receptors of quinpirole, supporting the hypothesis of the serotonin–dopamine interac- 316  
 tion and contributing to its construct validity (Kontis et al. 2008). 317

The initial finding implicating the 5-HT<sub>2C</sub> receptor in the mediation of persist- 318  
 ent behavior in this model was further investigated with the use of specific 319  
 5-HT<sub>2A</sub> and 5-HT<sub>2C</sub> receptor antagonists, M100907 and SB 242084, respectively. 320  
 Systemic blockade of the 5-HT<sub>2C</sub>, but not the 5-HT<sub>2A</sub>, receptor offered protection 321  
 against the mCPP-induced directional persistence, thus strengthening 5-HT<sub>2C</sub> 322  
 receptor involvement in compulsive behavior (Papakosta submitted) (Fig. 23.2). 323  
 It should be noted that the above findings constitute new evidence in the under- 324  
 standing of OCD etiopathogenesis, as well as other psychiatric afflictions where 325  
 inflexible behavior is a feature. 326

### 23.6 5-HT and the Signal Attenuation Task 327

Another sophisticated task used to measure both attention and inhibitory control is 328  
 that of “signal attenuation.” The signal attenuation model, developed by Joel et al. 329  
 (Joel and Avisar 2001; Joel et al. 2001, 2005a, b), is based on the hypothesis that 330  
 compulsive behavior results from deficient feedback associated with the completion 331

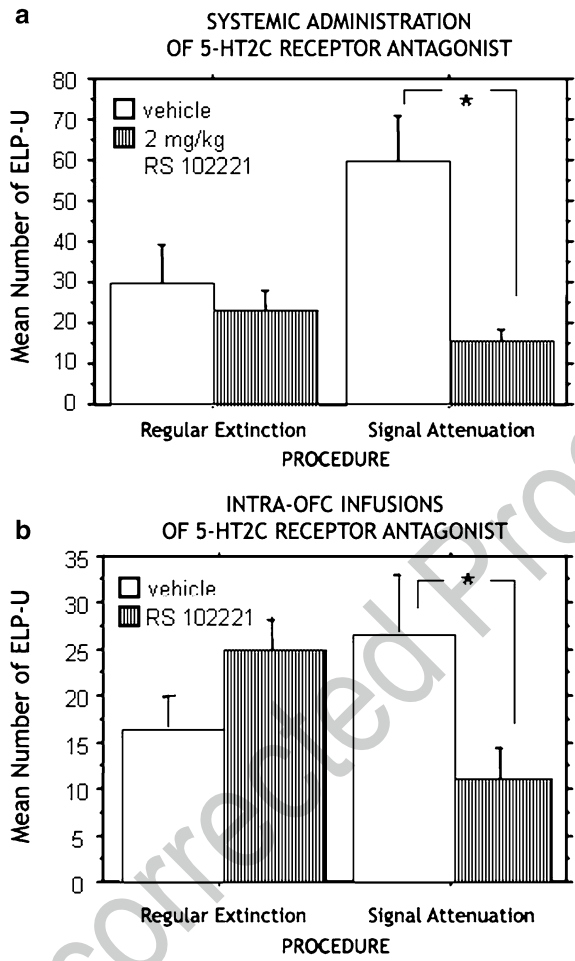


**Fig. 23.2** Effects of systemic administration of the 5-HT<sub>2C</sub> receptor antagonist SB 242084, on mCPP-induced directional persistence in the reinforced spatial alternation task in the T-maze (Adapted from [Papakosta, submitted](#))

[AU8]

332 of goal-directed responses: Normal functioning of such feedback prevents pointless  
 333 repetitions of responses once their goal has been attained. The goal-directed behavior  
 334 of this model is instrumental lever pressing for food. The feedback for a successful  
 335 response is a compound stimulus of light and tone. The “feedback deficit”  
 336 assumed to underlie compulsive behavior is induced in the model by means of  
 337 attenuation of the “signaling property” of this compound stimulus (repeated presentation  
 338 without food and without lever-press opportunity). The behavioral control  
 339 condition for this attenuation process is called *regular extinction*, and it is an identical  
 340 training and testing sequence, apart from the omission of the stimulus devaluation  
 341 stage. The effects of signal attenuation on lever-press responding are assessed  
 342 under extinction conditions through comparisons to the effects of regular extinction.  
 343 Regular extinction and, to a lesser extent, extinction after signal attenuation  
 344 both produce excessive lever presses (ELP), followed by magazine entry (ELP  
 345 completed, or ELP-C) extinction after signal attenuation additionally produces ELP  
 346 not followed by magazine entry (ELP uncompleted, or ELP-U). According to the  
 347 authors, ELP-C reflects rats’ responses to nonreward, while ELP-U reflects  
 348 response to the encounter of an attenuated signal and constitutes the model’s focal  
 349 behavior (compulsive lever pressing).

350 It has been demonstrated that the model is sensitive to serotonergic manipulations  
 351 since administration of SSRIs (paroxetine and fluvoxamine) had an “anticompulsive”  
 352 effect on “compulsive” lever pressing (Joel et al. 2004; Joel and Doljansky  
 353 2003). Although there are no studies investigating the contribution of distinct 5-HT  
 354 receptor subtypes, it has been recently reported that the 5-HT<sub>2C</sub>, but not the 5-HT<sub>2A</sub>,  
 355 receptor subtype is implicated in inhibitory control. Specifically, 5-HT<sub>2C</sub> receptor  
 356 blockade following administration of the selective 5-HT<sub>2C</sub> receptor antagonist RS  
 357 102221 reduced compulsive lever pressing, an effect mediated within the orbitofrontal  
 358 cortex (Flaisher-Grinberg et al. 2008) (Fig. 23.3).



**Fig. 23.3** (a) Effects of systemic administration of the 5-HT<sub>2C</sub> receptor antagonist RS 102221 on excessive uncompleted lever presses (ELP-U) in the posttraining signal attenuation and regular extinction procedures. (b) Effects of intra-OFC infusions of RS 102221 on the same behavioral measure in both procedures (Adapted from Flaisher-Grinberg et al. 2008)

[AU9]

### 23.7 5-HT<sub>2</sub> Receptors and Clinical Implications

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Studies on the involvement of 5-HT<sub>2</sub> receptors in attentional processes and executive control may be relevant to various neuropsychiatric disorders. The evidence emerging from studies in the 5CSRTT suggests that serotonergic modulation in the mPFC and the NAc can increase attentional selectivity and decrease impulsivity via 5-HT<sub>1A</sub> and 5-HT<sub>2A</sub> receptors. These findings bear clinical relevance, given that some atypical antipsychotics have 5-HT<sub>2A</sub> receptor antagonist actions that may potentially contribute to a procognitive effect in

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367 schizophrenia (Meltzer et al. 2003). The opposing effects of 5-HT<sub>2A</sub> and 5-HT<sub>2C</sub>  
368 receptor antagonism on premature responding in the 5CSRRT (Winstanley et al.  
369 2004) indicate that selective 5-HT<sub>2A</sub> receptor antagonists and/or 5-HT<sub>2C</sub> receptor  
370 agonists may have beneficial effects in psychiatric disorders where coexisting  
371 impulsivity is often present, including attention deficit hyperactivity disorder,  
372 schizophrenia, and substance abuse.

373 Finally, the Boulougouris et al. (2008) data may be useful in relieving reversal  
374 deficits such as those noted in Huntington disease. In fact, they may deserve con-  
375 sideration as a means of controlling compulsivity in the context of OCD. The latter  
376 is strengthened by the anticomulsive effect of 5-HT<sub>2C</sub> receptor antagonism on the  
377 reinforced spatial alternation and signal attenuation tasks.

### 378 23.8 Conclusions

379 This survey provides an integrative account of the contribution of serotonin, with  
380 emphasis on the 5-HT<sub>2C</sub> receptor subtype, to specific aspects of attentional pro-  
381 cesses and executive functioning as they emerge from experimental animal work.  
382 Four tasks allowing translational study have been used to that purpose:

- 383 1. The 5CSRRT, an analogue of the human CPT, is designed to measure several  
384 attentional operations with an emphasis on sustained attention or vigilance.
- 385 2. Attentional set shifting including reversal, intra- and intradimensional shifts, as  
386 the human WCST, tap attentional flexibility, that is the ability of humans and  
387 animals to develop and maintain higher-order rules, and shift attention according  
388 to changing reward contingencies.
- 389 3. The reinforced spatial alternation assesses working memory and other executive  
390 functions as well as persistent behavior.
- 391 4. Finally, the signal attenuation task addresses the issue of behavioral control by  
392 means of inhibition of activities that no longer serve environmental demands.

393 Taken together, the findings detailed above highlight the specificity of influences  
394 that the serotonin system has on overall prefrontal executive control, acting to  
395 promote distinct components of prefrontal processing in a context-dependent man-  
396 ner. Future directions must focus toward the definition of the specific aspects of  
397 attentional functions in which the serotonergic system is acting to influence pre-  
398 frontal processing.

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# Author Queries

Chapter No.: 23      0001196222

| Queries | Details Required                                       | Author's Response |
|---------|--|-------------------|
| AU1     | OK as meant?   |                   |
| AU2     | Please spell out acronyms at first mention in chapter. |                   |
| AU3     | OK as meant?   |                   |
| AU4     | Permission needed here?                                |                   |
| AU5     | Permission needed here?                                |                   |
| AU6     | Cross-ref. OK?   |                   |
| AU7     | OK as meant?   |                   |
| AU8     | Permission needed here?                                |                   |
| AU9     | Permission needed here?                                |                   |
| AU10    | Please update if available here.                       |                   |

Uncorrected Proof